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EXECUTION POLICY



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1. INTRODUCTION

- 1.1. This Order Execution Policy ("Policy") is provided by AUS GLOBAL .
- 1.2. This Policy provides an overview of how AUS GLOBAL executes orders on behalf of the Clients.

2. DEFINITIONS AND INTERPRETATION

- 2.1. Throughout this Policy, the following words and expressions shall bear the following meanings:
 - A. "Buy Limit" means an order to buy securities once the price reaches a level that is lower than the current price. Usually, this order is placed in anticipation that the security price, having fallen to a certain level, will increase.
 - B. "Buy Stop" means an order to buy securities once the price reaches a specific level, which is higher than the current price. Usually, this order is placed in anticipation that the security price, having reached a certain level, will keep on increasing.
 - C. "Client Agreement" means the client agreement entered into between AUS GLOBAL and the Client.
 - D. "Market Order" means an order to immediately buy or sell at the current quoted price.
 - E. "Margin Level" shall be derived from the formula $(\text{Equity} / \text{Margin} \times 100\%)$.
 - F. "Pending Order" means execution of a trade at a specific price, which shall include Buy Limit, Buy Stop, Sell Limit, Sell Stop, Take Profit and Stop Loss.
 - G. "Sell Limit" means an order to sell securities once the price reaches a level that is higher than the current price. Usually, this order is placed in anticipation that the security price, having increased to a certain level, will fall.
 - H. "Sell Stop" means an order to sell securities once the price reaches a specific level, which is lower than the current price. Usually, this order is placed in anticipation that the security price, having reached a certain level, will keep on falling.
 - I. "Stop Loss" means an order used for minimizing losses if the price of securities has started to move in an unprofitable direction. If the security price reaches this level, the position will be closed automatically.

- J. "Stop Out" occurs when the value of the Margin Level falls below the level as provided in the Trading Platform where forced closing of the opened positions shall occur without any prior notice to the Client.
- K. "Take Profit" means an order intended for gaining the profit when the price of securities has reached a certain level. Execution of this order results in the closing of the position.

2.2. The meanings of words and expressions that were not defined in this Policy shall follow the definitions described in the Client Agreement.

3. TRANSACTION TERMS AND CONDITIONS

- 3.1. Buy Limit, Sell Limit and Take Profit on currency pairs and CFDs are executed at the Client's input trading price at the first available market price.
- 3.2. Buy Stop, Sell Stop and Stop Loss on currency pairs and CFDs are executed at the Client's input trading price at the first available market price. In the event:
 - A. There is a gap between the time of opening and closing of the positions.
 - B. the input pending order is within the gap.The order shall be executed at the first available market price after the price gap.
- 3.3. AUS GLOBAL reserves the right to deny execution of an order in the event any transmission errors, delay, technical faults, malfunctions, illegal intervention on the trading platform resulted in price or quotation error of financial instruments offered by AUS GLOBAL.
- 3.4. The Client acknowledges that amendment or cancellation of an order is not possible after the input price has reached the execution price.
- 3.5. The Client agrees and acknowledges that when Stop Out occurs, force closing of the opened positions shall occur without any prior notice to the Client. In the event there is an additional amount due in the Client Account which arises after forced closing of the opened positions, the Client undertakes to pay the amount balance due to AUS GLOBAL.

4. EXECUTION VENUE

- 4.1. We always act as principals and not as agents on behalf of our clients. However, we reserve the right to transmit customer orders to third-party liquidity providers for execution.
- 4.2. The Client acknowledges that the transactions entered with AUS GLOBAL:
- A. are not undertaken on a recognized exchange.
 - B. are over the counter ("OTC") transactions.
 - C. may expose the Client to greater risks comparatively than recognized exchange, such as counterparty risk arising from execution outside a trading venue.

5. PROHIBITION ON BONUS-HUNTING

- 5.1. AUS GLOBAL strictly prohibits any act or omission committed by Clients:
- A. solely for the purposes of receiving or collecting AUS GLOBAL's bonus.
 - B. which are solely intended to cause loss or damage to AUS GLOBAL.
- 5.2. AUS GLOBAL reserves the right to:
- A. withhold the Client's funds.
 - B. withhold the Client's bonus.
 - C. cancel the Client's bonus.
 - D. impose a penalty of 5% on the Client's deposit.
- if AUS GLOBAL deems the Client is engaging, engaged or is under suspicion in bonus-hunting.
- 5.3. The following actions shall be considered as bonus-hunting:
- A. if the Client systematically closes positions with a profit of less than 10 points and still has a non-turnover bonus.
 - B. if the Client systematically opens the opposite positions and thus has a non-turnover bonus.

AUS GLOBAL reserves the right to apply other algorithms or other methods in identifying the bonus- hunting that are not described in this Policy.

- 5.4. The Client agrees that in the event multiple accounts are registered in the Client's name, the bonus shall only be credited to the account of which the Client made the first deposit.
- 5.5. The Client acknowledges that the size of his non-turnover bonus will be reduced in proportion to the number of withdrawals on the amount of the initial deposit.

6. AMENDMENTS

- 6.1. The Client acknowledges that AUS GLOBAL reserves the right to amend or update this Policy at any time without prior notice to the Client. The amendments to the Policy shall become effective immediately and shall be legally binding on the Client upon publishing the Policy on AUS GLOBAL's website. The Client undertakes to regularly review this Policy on the AUS GLOBAL's website.
- 6.2. The official language of this Policy shall be English. AUS GLOBAL may provide this Policy in other languages for information purposes only and in the event of any inconsistency or discrepancy between the English version of this Policy and any other language version, the English version shall prevail.

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